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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of nformation unless it displays a valid OMB control number. Docket Number (Optional) PRE-APPEAL BRIEF REQUEST FOR REVIEW MS1 0789US I hereby certify that this correspondence is being deposited with the **Application Number** Filed United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop AF. Commissioner for 09/882.810 6/14/2001 Patents, P.O. Box 1450, Alexandria, VA 22313-1450 [37 CFR 1.8(a)] ଠା।ଧା୦5 First Named Inventor Shannon J. Chan Art Unit Examiner Typed or printed 2131 Rachel Murphy Taghi T Arani name Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request. This request is being filed with a notice of appeal. The review is requested for the reason(s) stated on the attached sheet(s). Note: No more than five (5) pages may be provided. I am the applicant/inventor. Signature assignee of record of the entire interest. Daniel L. Hayes' See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/S8/96) Typed or printed name attorney or agent of record. 34618 509-324-9258 Rogistration number_ Telephone number attorney or agent acting under 37 CFR 1.34. Registration number if acting undar 37 CFR 1,34 NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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 Inventorship
 Chan et al.

 Applicant
 Microsoft Corporation

 Group Art Unit
 2131

 Examiner
 Arani, Taghi T

 Attorney's Docket No.
 MS1-789US

 Title: Key Exchange Mechanism for Streaming Protected Media Content

PRE-APPEAL BRIEF

Independent claims 1, 13, 19, 24, and 27 have been rejected as being anticipated under §102 by the Spies reference. The Examiner's error in making this rejection is plainly illustrated by the following two paragraphs, taken from page 2 of the 5/31/05 Office Action:

As per independent claims 1, 13, 19, 24, and 27, the Applicant merely argues that the cited prior art of Spies does not describe exchanging keys between a DVD drive and any other component and that it does not disclose passing one or more keys from a DVD. The Applicant admits (page 12 of the REMARKS) of Spies discussing various types of keys and communication of keys between different components, including servers and clients, but argues that Spies does not disclose passing keys from DVDs (page 9 of the REMARKS).

The Examiner responds that independent claims 1, 13, 19, 24, and 27 are rejected based on U.S.C. 102(b) and the functional elements of exchanging keys were found to be substantially anticipated by Spices, where the program key is exchanged between purchaser and merchant units using pair of public and private exchange keys (col. 3, lines 19-51, see also col. 11, lines 46+) to obtain decryption capabilities.

A §102 rejection requires that each and every element of a claim be present in a single reference, arranged as claimed. Claim 1, as an example, recites that a client and server "pass one or more keys from the DVD to the key exchange client

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...." Spies does not show this, as the Examiner impliedly admits in the passage above.

In response to the argument that Spies does not show passing keys from DVDs, the Examiner has maintained that even so, Spies "substantially" anticipates the claims. The Applicant respectfully submits that this does not meet the requirements of §102. Spies does not show all the elements of the independent claims, and therefore does not anticipate the claims.

Withdrawal of the rejections is therefore requested.

Respectfully Submitted,

ated: 8/8/05

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